



FACTS

WHAT DOES CADENT CAPITAL ADVISORS, LLC (“CCA”) DO WITH YOUR PERSONAL INFORMATION?

Why?

Financial companies choose how they share your personal information. Federal law gives consumers the right to limit some but not all sharing. Federal law also requires us to tell you how we collect, share, and protect your personal information. Please read this notice carefully to understand what we do.

What?

The types of personal information we collect, and share depend on the product or service you have with us. This information can include:

- Social Security number, date of birth, banking information, financial account numbers and balances
- sources of income, assets, and retirement assets
- investment experience, risk tolerance, and investment objectives

How?

All financial companies need to share customers’ personal information to run their everyday business. In the section below, we list the reasons financial companies can share their customers’ personal information; the reasons CCA chooses to share; and whether you can limit this sharing.

Reasons we can share your personal information	Does CCA share?	Can you limit this sharing?
For our everyday business purposes— such as to process your transactions, maintain your account(s), respond to court orders and legal investigations, or report to credit bureaus	Yes	No
For our marketing purposes— <i>to offer our products and services to you</i>	Yes	No
For joint marketing with other financial companies	No	We don’t share
For our affiliates’ everyday business purposes— information about your transactions and experiences	No	We don’t share
For our affiliates’ everyday business purposes— information about your creditworthiness	No	We don’t share
For our affiliates to market to you	No	We don’t share
For nonaffiliates to market to you	No	We don’t share

To limit our sharing

- Call **918-935-3450**

Please note:

If you are a *new* customer, we can begin sharing your information 30 days from the date we sent this notice. When you are *no longer* our customer, we continue to share your information as described in this notice.

However, you can contact us at any time to limit our sharing.

Questions?

Call 918-935-3450

Who we are	
Who is providing this notice?	Cadent Capital Advisors, LLC (“CCA”)
What we do	
How does CCA protect my personal information?	To protect your personal information from unauthorized access and use we use security measures that comply with federal law. These measures include computer safeguards and secure files and buildings. CCA maintain physical, electronic, and procedural safeguards to protect your nonpublic personal information
How does CCA collect my personal information?	We collect your personal information, for example, when you ■ Enter into an investment advisory contract ■ provide financial, investment, income, assets, risk tolerance, investment objective, or retirement asset information ■ Seek advice about your investments; ■ Make deposits or withdrawals from your account or provide account information. ■ Information obtained from custodians, other financial institutions, or other sources as authorized by you or permitted by law. ■ Information provided by a consumer reporting agency.
Why can’t I limit all sharing?	Federal law gives you the right to limit only ■ sharing for affiliates’ everyday business purposes—information about your creditworthiness ■ affiliates from using your information to market to you ■ sharing for nonaffiliates to market to you State laws and individual companies may give you additional rights to limit sharing.
What happens when I limit sharing for an account I hold jointly with someone else?	Your choices will apply to everyone on your account - unless you tell us otherwise.
Definitions	
Affiliates	Companies related by common ownership or control. They can be financial and nonfinancial companies. ■ <i>CCA does not share information among affiliates for marketing purposes. No mobile information, including personally identifiable information, will be shared with third parties or affiliates for marketing or promotional purposes.</i>
Nonaffiliates	Companies not related by common ownership or control. They can be financial and nonfinancial companies. ■ <i>Cadent does not disclose nonpublic personal information to nonaffiliated third parties for their marketing purposes.</i>
Joint marketing	A formal agreement between nonaffiliated financial companies that together market financial products or services to you. ■ <i>Cadent does not share client nonpublic personal information for joint marketing purposes.</i>
Other important information	
When you are no longer our client, we continue to protect and disclose your information as described in this notice. This notice replaces all previous notices of our consumer privacy and may be amended at any time. We will keep you informed of changes or amendments as required by law. Text Message Opt-Out: If you are receiving text messages from us and wish to stop receiving them, notify your advisor of your desire to stop receiving text messages. Once received, you will no longer receive further text messages from us.	